



Floristic Diversity and Carbon Sequestration of Cocoa Agroforestry Systems in Nawa and Indénié-Djuablin Regions, Côte d'Ivoire

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Authors' contributions

This work was carried out in collaboration among all authors. Author KKT designed the study, wrote the protocol, managed the study, performed the statistical analysis and wrote the first draft of the manuscript. Authors SS and EECG managed the analyses of the study. All authors read and approved the final manuscript.

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ABSTRACT

In the fight against the global challenges of climate change, carbon storage in agroforestry systems is seen as a biological mitigation strategy. The floristic diversity of agroforestry systems acts as a driver of carbon sequestration by increasing total biomass, stabilising soil carbon and ensuring the system's resilience to disturbances. The richer, more diverse and more structured the agrosystem, the more effective and sustainable its role as a carbon sink. In Côte d'Ivoire, farmers are

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increasingly practising agroforestry, particularly in cocoa cultivation. However, the estimated carbon stock of cocoa-based agroforestry systems (AFS) has not been enough measured at the local level, particularly in main production areas such as Nawa and Indénié-Djuablin. This study aims to assess the estimate carbon stock in the Nawa and Indénié-Djuablin regions.

Then, this research was conducted on a sample size of 10 plantations and in each of them, a sampling scheme was established. In order to determine the floristic diversity of the AFS, we identified the species present using the forest survey method. We also determined the species richness of the inventoried species by calculating the Shannon, Simpson and Pielou biodiversity indices. The carbon stock of the AFS was estimated on the basis of above-ground, below-ground biomass and soil carbon stock. We first measured the DBH of trees at 1.3 meters and that of cocoa trees at 30 centimeters above the ground. The DBH measurements then enabled us to calculate the density, basal area, above-ground and below-ground biomass according to the allometric models. Finally, soil carbon stock was estimated based on the results of laboratory analysis of soil samples taken from the plantation and standard methodological guidelines.

Nawa contained the most diverse AFS (31 species) compared to 23 species in Indénié-Djuablin. The AFS in these two regions were mostly young (86%), and the carbon stock varied according to tree density, which in turn varies according to history, previous cultivation, and particularly associated species. The average density (cocoa trees and shade trees) was 805 trees.ha⁻¹ (Nawa) and 686 trees.ha⁻¹ (Indénié-Djuablin), and the average carbon stock was 66 tC.ha⁻¹ (Nawa) and 36 tC.ha⁻¹ (Indénié-Djuablin).

The AFS studied store more than monocultures but less than old-growth agroforests. The carbon storage data from this study could serve as a reference for generating carbon certification scenarios. This study was conducted on a limited sample size due to financial constraints. Furthermore, it would be interesting to continue the research by comparing the species of shade trees inventoried according to their ability to fix carbon, improve soil fertility or regulate the microclimate through shading.

Keywords: *Theobroma cacao* L.; agroforestry systems; biodiversity; carbon stock; climate change; Côte d'Ivoire.

1. INTRODUCTION

The continuous use of fossil fuels in the industrial sectors suggests the dire need for comprehensive reduction measures in utilising fossil fuel-based energy sources (Lau *et al.*, 2021). This contributes to the catastrophic increase in global carbon emissions (Bose *et al.*, 2024). In the context of combating the global challenges of climate change, carbon storage in terrestrial ecosystems is currently seen as an essential mitigation strategy. CO₂ can remain in the atmosphere for 3–10 centuries. It is crucial to both drastically reduce emissions and apply carbon sequestration techniques. This requires immediate action to cut CO₂ emissions and implement "negative emission" technologies to meet the goal of a decarbonised global economy by 2050 (Dasgupta & Mahanty, 2024). The floristic diversity of agroforestry systems acts as a driver of carbon sequestration by increasing total biomass, stabilising soil carbon and ensuring the system's resilience to disturbances. The richer, more diverse and more structured the agrosystem, the more effective and sustainable its role as a carbon sink.

In tropical areas, agroforestry systems, particularly those incorporating cocoa (*Theobroma cacao* L.), are essential for their ability to serve as carbon sinks while ensuring sustainable agricultural production (Dawoe *et al.*, 2016; Madountsap *et al.*, 2018; Ballesteros-Possú *et al.*, 2022).

Cocoa has a significant impact on the economies of producing countries. In Côte d'Ivoire, the world's leading producer, cocoa accounts for 10% of national GDP and 40% of exports, and the sector employs around 8 million people (Konan, 2024; FAO, 2025). In Ivorian cocoa plantations, the use of agroforestry systems is widespread and offers enormous potential for carbon storage in biomass and soils (Saj *et al.*, 2018; Kouadio *et al.*, 2025). However, this potential has not yet been sufficiently measured at the local level, particularly in key production areas such as the Nawa and Indénié-Djuablin regions (Vroh *et al.*, 2019; Koffi *et al.*, 2025).

These two areas, characterised by divergent agroecological conditions and intensive cocoa production, provide a suitable environment for

examining the effectiveness of agroforestry systems in carbon sequestration (Kouao *et al.*, 2022; Kouassi *et al.*, 2025). The objective of this study is to estimate the carbon sequestration potential of cocoa agroforestry systems in these regions. This will provide a more effective understanding of their role in mitigating climate change, while providing a scientific basis for sustainable agricultural and environmental policies in Côte d'Ivoire.

2. MATERIALS AND METHODS

2.1 Study Area

The study was conducted in Nawa and Indénié-Djuablin (Fig. 1). The Nawa region is located in south-western Côte d'Ivoire between 5°57'57"-7°7'58" west longitude and 5°17'40"-6°44'29" north latitude. Nawa has a sub-equatorial climate. It has two wet seasons and two dry seasons. The long-wet season covers the period from April to July. The short-wet season lasts for three months (September-November). The long dry season begins in December and ends in March, and the short dry season lasts throughout August (Diomandé *et al.*, 2022). Average rainfall is between 1.600 and 1.800 mm per year. Average temperatures range between 26 and 28 °C, with April being the sunniest month (DonnéesMondiales, 2025). The region's terrain consists of vast plateaus and the vegetation is partly dense (Taï National Park, a biosphere reserve and UNESCO World Heritage Site). The soils of Nawa are mainly ferralitic, with textures ranging from clayey silt to silty sand (Guillaumet & Adjanohoun, 1971).

The Indénié-Djuablin region is located in the south-east of the country at 6° 43' 47" north latitude and 3° 29' 47" west longitude. Average rainfall ranges from 1.200 to 1.600 mm per year, with maximum sunshine in November (DonnéesMondiales, 2025). The terrain of this region consists of plains with an average altitude of 250 m (Vroh *et al.*, 2019). The vegetation is humid, wooded and herbaceous (Kouamé *et al.*, 2009). The soils of Nawa are mainly ferralitic (Guillaumet & Adjanohoun, 1971).

2.2 Material

2.2.1 Plant material

The plant material consists of cocoa trees and shade trees with a diameter of more than 10 cm.

2.2.2 Technical material

The study required the following equipment: a pair of boots, a notepad, an A4 notebook, a pen, a smartphone, a tape measure, oil paint, a paintbrush, a slate, a packet of chalk, 50 m of red and white tape, a roll of adhesive tape, 150 m of rope, a 1 kg measuring jug, a trowel, 10 packs of packaging bags, a pair of gloves and a pickaxe.

2.3 Methods

2.3.1 Selection of plantations

2.3.1.1 Pre-survey phase

Secondary data obtained from the ANADER and Coffee Cocoa Council databases were used to select cocoa farmers practicing agroforestry in the areas studied.

2.3.1.2 Survey phase

The plantations of the selected producers were visited. This enabled us to select those that met the following criteria: presence of associated shade trees larger than the cocoa trees; plots in production (from 4 years of age) and a minimum plot size of 1 hectare. Thus, out of 200 producers contacted, 10 plantations were selected in seven locations to host the sampling program. The selection of plantations was carried out from November to December 2024.

2.3.2 Sampling scheme

In each selected plantation, a 50m x 20m (1000 m²) plot was marked out using the surface floristic survey method, which consists of recording all taxa present on square, rectangular or circular areas (N'Dah *et al.*, 2022). In each plot, three circles with a radius of 30 m were marked out at equidistant intervals of 120° (Fig. 2). The companion tree species (trees and shrubs) were inventoried in the plantation. The 1000 m² plots were used to list the cocoa trees and characterize the associated trees. The circles with a radius of 30 meters were used to collect soil samples.

2.3.3 Data collection

2.3.3.1 Individual producer survey

We submitted an individual questionnaire to the owners of the 10 selected plantations to collect

information on the age and area of the plantation, associated shade trees, density of associated trees, previous crop, technical itinerary and benefits derived from the presence of shade trees.

2.3.3.2 Tree identification

Identification consists of giving the scientific name of the trees. This was done using the local name and photos of the leaves and fruits taken in

the field, which were then compared with existing databases in several publications, including La Flore Forestière de Côte d'Ivoire by Aubréville (1959), Woody Plants of Western African Forests by Hawthorne & Jongkind (2006), Photoguide for the Forest Trees of Ghana by Hawthorne & Gyakari (2006), Ligneux compagnons dans les exploitations cacaoyères by Koubo et al. (2020), and Les arbres des cacaoyères Recueil by Sanial and Ettien (2022). The trees were identified throughout the plantation.

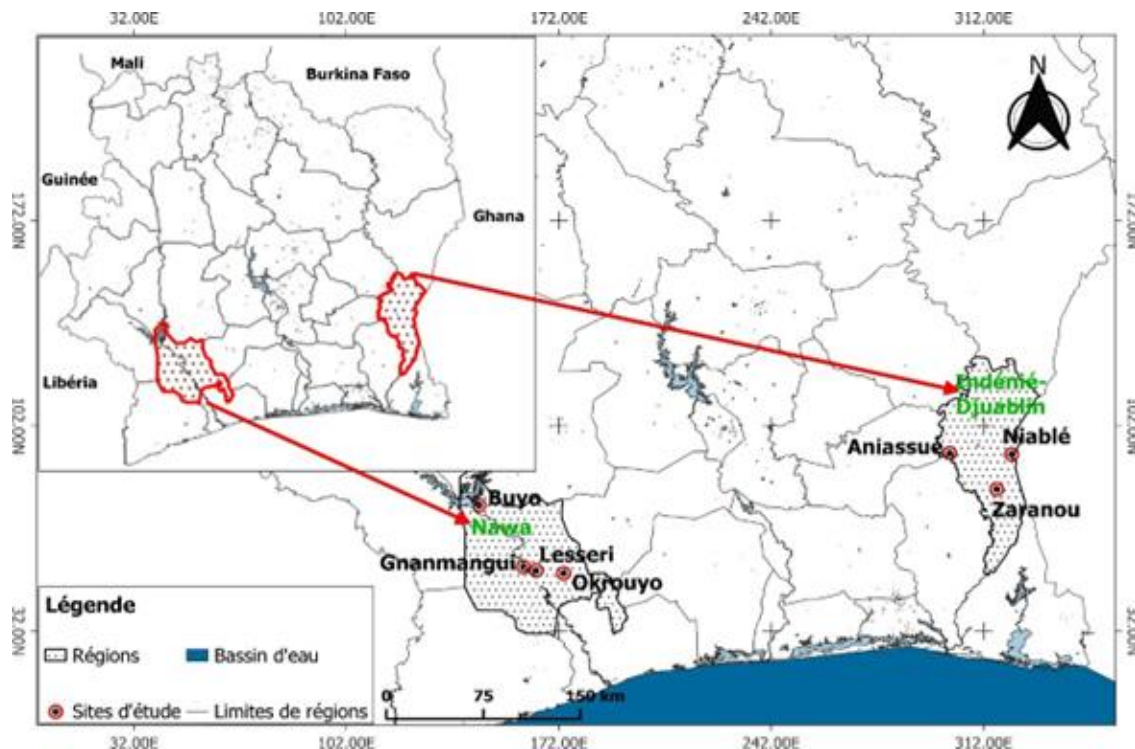


Fig. 1. Study area

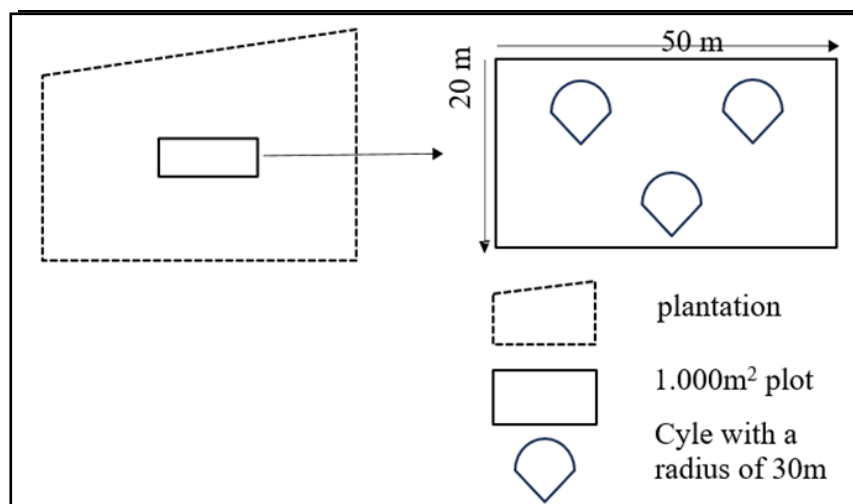


Fig. 2. Sampling device

2.3.3.3 Measurement of diameter at breast height (DBH) and tree count

The DBH of cocoa trees was measured 30 centimeters above the ground (Andrade *et al.*, 2008; Cerny, 2024). When the cocoa tree had a trunk composed of several small trunks starting from the collar, the DBH of each small trunk was measured and their sum constituted the DBH of the cocoa tree. The DBH of shade trees was measured 1.3 meters above the ground. Only trees with a DBH upper than 10 centimeters in the plot were included in the study. These measurements were taken with a tape measure and the DBH was recorded in a notebook along with the local name of the tree. The trees measured were marked with paint so that they would not be measured more than once. In each plot, all trees marked with paint were counted to establish tree density.

2.3.3.4 Soil sample collection

Soil samples were collected from holes measuring 50 cm square and 60 cm deep, dug for this purpose with a pickaxe. Three holes were dug in each circle (Fig. 2). In each hole, one sample was collected from 0 to 20 cm, another sample from 20 to 40 cm and another sample from 40 to 60 cm. The samples were packed in plastic bags and labelled with adhesive tape.

2.3.3.5 Analysis of soil samples

A total of 216 soil samples were collected at a rate of 9 samples per circle in 8 plots. In each region, one composite sample was taken at a depth of 20 cm, another composite sample at a depth of 40 cm and another composite sample at a depth of 60 cm. Organic carbon was analysed in the laboratory using the Walkley and Black method (1934). This method involves cold oxidation of the total organic carbon present in the soil using a potassium dichromate (K₂Cr₂O₇) solution in presence of sulphuric acid (H₂SO₄).

2.3.3.6 Assessment of woody biodiversity

Density (N) is the number of trees per hectare. It is calculated using the following formula (Rondeux, 1993):

$$N = \frac{\text{number of trees in the plantation}}{\text{total area of the plantation}}$$

The basal area of a tree is the cross-sectional area of that tree at 1.30 m above the ground

(Rondeux, 1993). It is the area occupied by tree per hectare. The basal area of a stand (G) represents the sum of the basal areas of the individual trees (g) that make up that stand (Pardré & Bouchon, 1988). They are calculated using the following equations:

$$G = \sum_{i=1}^n \frac{\pi D_i^2}{4} \times \frac{d}{n}$$

G = basal area of a stand;

D_i = diameter of tree i;

d = stand density per hectare;

n = population size in the plot.

The species richness of each region was determined by calculating various biodiversity indices such as Shannon (H), Simpson (D) and Piélou (E) (Ramade, 1994; Shannon & Weaver, 1949). These three indices were calculated using the diversity function of the "vegan" package version 4.3.2 from RStudio.

2.3.3.7 Assessment of biomass, soil carbon and carbon stocks

Assessment of biomass: Tree biomass was assessed using allometric formulas to calculate the above-ground, below-ground and total biomass of each individual tree. The allometric formulas used for shade trees differ from those used for cocoa trees.

Shade trees: Above-ground biomass (AGB) is calculated according to Chave *et al.* (2014) using the following formula:

$$\text{AGB (kg/tree)} = 0.0673 * (pD^2)^{0.976}$$

where D = DBH

Belowground biomass (BGB) is calculated according to Mokany *et al.* (2006) using the following formula:

$$\text{BGB (t/ha)} = \text{BA} * R$$

Where R = 0.205 if BA < 125 t/ha or BA > 625 t/ha and R = 0.235 if 125 < BA < 625 t/ha.

Cocoa trees: Above-ground biomass is calculated according to Chave *et al.* (2005) using the following formula:

$$\text{AGB (kg/tree)} = \exp(-2.134 + 2.530 \cdot \ln(D) + 0.850 \cdot \ln(p))$$

where D = DHP

The belowground biomass is calculated according to IPCC (2006) using the following formula:

$$\text{BGB (t/ha)} = 0.2 \times \text{AGB}$$

NB: The above-ground biomass after digital application gives us a result in kg/tree. We convert BA from kg/tree to t/ha using the following calculation:

$$\text{BA (t/ha)} = (\text{BA (kg/tree)} \times \text{Density}) / 100$$

Shade trees and cocoa trees: The total biomass (TB) is obtained by adding AGB (t/ha) and BGB for the individual:

$$\text{TB (tonnes/hectare)} = \text{AGB} + \text{BGB}$$

The total biomass was first converted to carbon equivalent and then to CO₂ according to IPCC (2006) using the following formulas:

$$\text{C (t C.ha}^{-1}\text{)} = 0.5 \times \text{B} \quad \text{and} \quad \text{CO}_2 \text{ (t CO}_2\text{.ha}^{-1}\text{)} = 3.67 \times \text{C}$$

The above-ground, below-ground and total biomass; as well as the conversions to carbon and CO₂; were calculated using Excel.

Assessment of soil carbon: Once the organic carbon content per depth and per region had been obtained in the laboratory, we calculated the soil carbon equivalent according to IPCC (2006) using the following formulas:

$$\text{C (t C.ha}^{-1}\text{)} = \text{depth (cm)} \times \%C \times 1.45 \times 10$$

Then, we calculated the average soil carbon equivalent per region.

Assessment of carbon stock: Finally, the equivalent carbon stock of the plantation was calculated using the following formula:

$$\text{Total carbon T/ha} = \sum \text{carbon equivalent per tree} + \text{soil's carbon equivalent average per region}$$

2.3.4 Statistical analyses

Variables that conformed to normal distribution were analysed using one-way analysis of variance (ANOVA), while those that did not meet

the assumptions of ANOVA, even after transformation, were analysed using Kruskal-Wallis parametric ANOVA on mean ranks using R software version R4.3.2. In cases where there was a difference between means, the P-value was calculated.

3. RESULTS AND DISCUSSION

3.1 Age of AFS

The survey results show that the majority (86%) of AFS surveyed are under 20 years of age (Fig. 3). This majority was observed in both regions visited. AFS over 20 years of age are in the minority (14%) and were all recorded in Nawa.

Following analysis of the survey data, AFS were grouped into two age categories: under 20 years (86%) and over 20 years (14%). The predominance of AFS under 20 years (86%) confirms that we are indeed dealing with young cocoa plots in the study area. In fact, in Nawa and Indénié-Djuablin, producers are rehabilitating their orchards or starting new plantations due to the ageing of orchards or the spread of Swollen Shoot. These practices have been reported by several authors in Côte d'Ivoire (Konan *et al.*, 2023; Doumbia *et al.*, 2024; Gnagbo *et al.*, 2024).

3.2 Previous Land Uses of AFS

In this section, the results reveal two trends. In the Indénié-Djuablin region, one-third of AFS are located on former fallow land, one-third on former forest land, and one-third on former orchards (Fig. 4). The second trend shows that in the Nawa region, half (50%) of AFS are established on former forest land. Similarly, the other half (50%) is established on former orchards. In this region, none AFS have been established on former fallow land. The Kruskal-Wallis test gives ($P = .3$); there is no statistically significant difference between the regions compared.

The survey results show that producers established the current AFS following fallow land, forests or ageing orchards. The results of the Kruskal-Wallis test show that the previous land uses are the same in Nawa and Indénié-Djuablin. These results could be explained by the vegetation and agricultural history of the regions. Indeed, Nawa and Indénié-Djuablin have dense forest vegetation and part of the forest has been destroyed to make way for cocoa cultivation, which was introduced in the 1870s (Koné *et al.*, 2014; Kouassi *et al.*, 2021). Furthermore, agriculture is a key sector of activity in both

regions, where farmers practise fallow farming to regenerate the soil (Osseni *et al.*, 1989; Li & Nath 2024).

3.3 Floristic Diversity of AFS

Regarding species abundance, a total of 1.272 individuals were recorded, divided into 32 species, 28 genera and 14 families in the study area. In Nawa, we recorded 879 individuals divided into 31 species, 27 genera and 14 families. In Indénié-Djuablin, we recorded 393 individuals divided into 24 species, 21 genera and 10 families (Table 1). The results on species frequency show that the flora was dominated by *Termiliana superba* (23%), *Termiliana ivorensis* (13%) and *Ricinodendron heudelotii* (8%) in Nawa and Indénié- Djuablin. In Nawa, the least frequent species were *Adansonia digitata* (0.11%), *Cecropia pelelata* (0.11%), *Heritiera densiflora* (0.34%) and *Tieghemella heckelii* (0.34%). In Indénié- Djuablin, the least frequent species were *Adansonia digitata* (0.25%), *Albizia zygia* (0.51%) and *Khaya ivorensis* (0.51%) (Table 1). The results on the use or usefulness of associated species, according to farmers'

perceptions, reveal that certain species have multiple uses. Indeed, according to repeated analyses, in Nawa, 21 species provide optimal shade for cocoa trees, 13 species are used in traditional medicine, 12 species are consumed locally, 12 species provide timber, 11 species provide firewood, 8 species produce fruit with commercial value, 5 species fertilize the soil and 3 species have cultural value. In Indénié-Djuablin, 15 species provide optimal shade for cocoa trees, 10 species provide traditional medicine, 10 species generate timber, 9 species are consumed locally, 8 species produce fruit with commercial value, 7 species provide firewood, 5 species fertilize the soil and 2 species have cultural value (Table 1). With regard to the results on the origin of species, in Nawa, 19 species are indigenous and 11 are exotic. However, in Indénié-Djuablin, 14 species are indigenous and 9 are exotic (Table 1). Regarding the vulnerability of the species encountered based on their IUCN status, six of them are vulnerable: *Entandrophragma angolense*, *Entandrophragma utile*, *Monodora myristica*, *Khaya ivorensis*, *Terminalia ivorensis* and *Tieghemella heckelii* (Table 1).

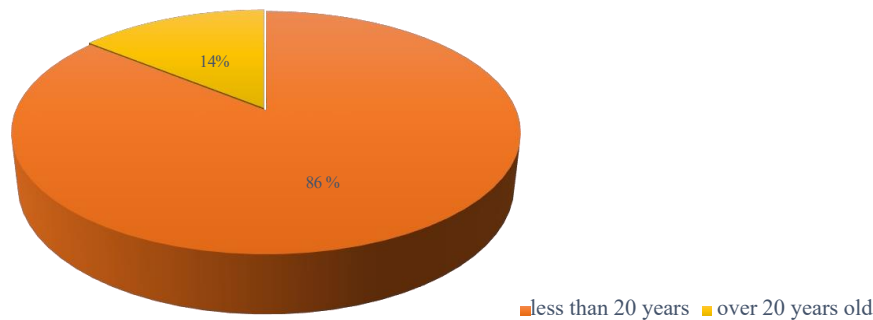


Fig. 3. Age of plantations

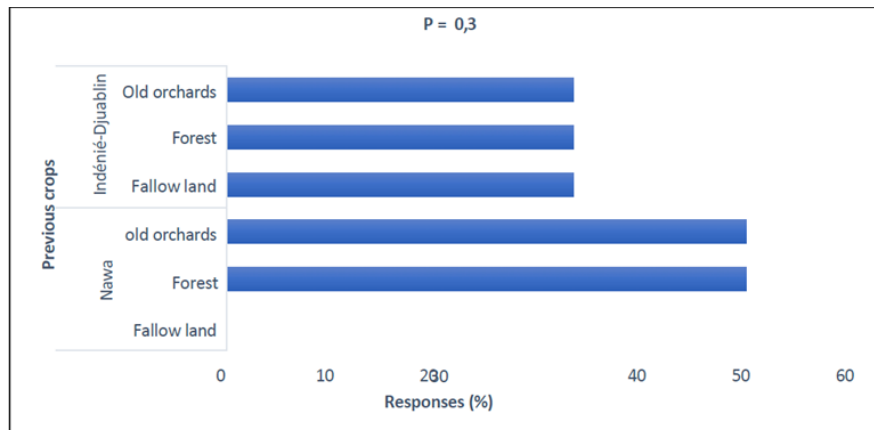


Fig. 4. Previous land uses by region

The inventories carried out identified 31 species divided into 27 genera and 14 families in Nawa, and 24 species divided into 21 genera and 10 families in Indénié-Djuablin. These species numbers are lower than the 179 species identified by Kouadio *et al.* (2025) in the cocoa plantations of central-western Côte d'Ivoire. This difference in the number of species could be explained by the methodology used. Indeed, the inventory methodology used by Kouadio *et al.* (2025) is that of forest inventories on a surface area (100 m x 50 m). This method differs from the surface survey method (50 m x 20 m) used in our work. Furthermore, the number of species obtained is also lower than the 84 species identified by Konan *et al.* (2023) in the cocoa plantations of western Côte d'Ivoire (Biankouma). The high number of species obtained by Konan *et al.* (2023) could be justified by the surface floristic survey, which consists of recording all woody (trees and shrubs) and non-woody (palms and banana trees) species. This choice in terms of floristic inventory would have favoured the discovery of a greater number of plant species. When, we consider the distribution of species by region, the AFS in Nawa recorded the highest number of species. This significant number of species in this region could be explained by the cultivation practices adopted by producers. The extensive knowledge of species among producers in Nawa could promote the preservation of a large number of species in cocoa plantations (Lipchitz & Pouch 2008; Olwig *et al.*, 2024).

When, we consider the distribution of species among themselves, the abundance of *Termiliana superba* (23%) and *Termiliana ivorensis* (13%) in AFS could be explained by their rapid growth, the quality of their shade and their promotion by the cocoa sector's support structures (ANADER and the Coffee Cocoa Council). These species grow rapidly, provide optimal shade for cocoa trees and are among the species distributed as seedlings during agroforestry promotion campaigns conducted by the relevant national and international institutions (Béghaghel, 1995; Tagbi *et al.*, 2015; Cuny *et al.*, 2023). As for *Ricinodendron heudelotii* (8%), its abundance in AFS could also be explained by its rapid growth, but also by its nutritional and economic importance in Ivorian cuisine (Nikiema *et al.*, 2024). In addition to these species, the species listed in the study area are generally used for shade for cocoa trees, traditional medicine, food for farmers, timber, fuelwood and soil fertilization (Smith *et al.*, 2014; Gyau *et al.*, 2015 and

Koulibaly *et al.*, 2017). The significant proportion of native species in the Nawa and Indénié-Djuablin AFS shows the importance of local tree species in the lives of producers. Indeed, faced with the devastating effect of cocoa cultivation on forest cover, leading to the disappearance of several useful species, many producers tend to conserve native trees for their medicinal, food, fertilising and/or commercial value when creating plantations. The conservation of local tree species in plantations has also been reported by Cissé *et al.* (2016) in the cocoa agroforests of Lakota (central-western Côte d'Ivoire). Furthermore, research by Plas (2020) has shown that cocoa farmers in western Côte d'Ivoire preserve many native trees in their plantations, which are generally used for food, medical care or to protect young plants from the sun's rays. The vulnerability status of *Entandrophragma angolense*, *Entandrophragma utile*, *Monodora myristic*, *Khaya ivorensis*, *Terminalia ivorensis* and *Tieghemella heckelii* according to the IUCN (2015) could be justified by their indigenous origin coupled with their quality as timber. Indeed, these local species are overexploited by a poorly regulated domestic timber trade (Aubréville, 1959; Hawthorne & Jongkind, 2006; Hawthorne & Gyakari, 2006; Kougbo *et al.*, 2020; Sanial & Ettien, 2022).

3.4 AFS Biodiversity Indices

Biodiversity indices were higher in Nawa. Indeed, in Nawa, the averages for Shannon and Simpson were 2.05 and 0.82 respectively, compared to 1.88 and 0.80 in Indénié-Djuablin. The Pielou index showed a similar result for both regions with 0.87 (Table 2). However, statistical analyses showed that there was no significant difference between the specific richness of AFS in the two regions (Kruskal-Wallis, $P=0.6$). The results highlight that there is average diversity in the community studied, with a relatively balanced distribution of individuals between species (no species is totally dominant).

The diversity indices calculated showed greater diversity in terms of AFS in Nawa. However, statistical analyses showed that there was no significant difference between the specific richness of AFS in the two regions. This similarity between the specific richness of the two regions could be explained by their vegetation. Indeed, Nawa and Indénié-Djuablin share dense forest vegetation, which explains the high number of native species in AFS. The average diversity in the study area has been demonstrated in several

studies in Côte d'Ivoire (Adou Yao & N'Guessan, 2006; Koulibaly *et al.*, 2017).

3.5 Stand Density (Cocoa Trees and Shade Trees) in AFS

In Nawa, the average stand density was 805 trees.ha⁻¹ with a standard deviation of 161 trees.ha⁻¹. The minimum stand density was 640 trees.ha⁻¹ and the maximum stand density was 1020 trees.ha⁻¹. The minimum shade tree density was 70 trees.ha⁻¹ and the maximum shade tree density was 180 trees.ha⁻¹ (Fig. 5). In Indénié-Djuablin, the average stand density was 686 trees.ha⁻¹ with a standard deviation of 227 trees.ha⁻¹. The minimum stand density was 500 trees.ha⁻¹ and the maximum stand density was 940 trees.ha⁻¹. The minimum shade tree density was 30 trees.ha⁻¹ and the maximum shade tree density was 170 trees.ha⁻¹ (Fig. 5). The Kruskal-Wallis's test (P=.5) showed that there was no significant difference between stand densities in AFS in the two regions.

The calculated tree density ranged from 500 trees/ha to 1.020 trees/ha. These results are similar to those obtained in several studies conducted in Ivorian cocoa plantations (Konan *et al.*, 2023; Kouadio *et al.*, 2025). Furthermore, the high stand density in AFS could be justified by the fact that producers transplant cocoa trees at

spacings of 2.5 m x 2.5 m or 2.5 m x 3 m to increase the survival rate of the cocoa. This cultivation choice allows producers to plant as many cocoa trees as possible on their plots. The high density of shade trees in AFS (30 to 180 trees/ha) could be explained on the one hand by the cultivation technique adopted by producers and on the other hand by the spacing used for planting shade trees. The establishment of these plots begins either with the introduction of cocoa trees after clearing the original forest or with the rehabilitation of old cocoa plantations, while retaining useful tree species. Then, in order to maintain shade and diversify their sources of income, farmers tend to keep the wild trees that emerge and introduce tree species at spacing that varies from one producer to another (Koko *et al.*, 2013; Cerny, 2024).

3.6 Basal Area of AFS

The results show that in the Nawa AFS, the average basal area was 27.4 m².ha⁻¹ with a standard deviation of 23 m².ha⁻¹. The minimum basal area was 11.5 m².ha⁻¹ and the maximum basal area was 61.4 m².ha⁻¹ (Fig. 6). In Indénié-Djuablin, the average basal area was 24.5 m².ha⁻¹ with a standard deviation of 13.3 m².ha⁻¹. The minimum basal area was 10 m².ha⁻¹ and the maximum basal area was 36.5 m².ha⁻¹ (Fig. 6).

Table 1. Proportions and IUCN status of shade trees inventoried in AFS per region

Species	IUCN status	Origin	Abundance		Frequency		Uses
			Nawa	Indénié	Nawa	Indénié	
<i>Adansonia digitata</i>	PM	IN	1	1	0.11%	0.25%	al.
<i>Albizia adianthifolia</i>	PM	IN	12	0	1.37%	0.00%	bo.bc.om.md.al.
<i>Albizia zygia</i>	PM	IN	8	2	0.91%	0.51%	md.bc.om
<i>Anacardium occidentale L.</i>	PM	EX	12	8	1.37%	2.04%	ve.
<i>Aningeria superba</i>	NC	IN	33	3	3.75%	0.76%	bo.bc.om
<i>Bombax</i>	PM	IN	0	9	0.00%	2.29%	bo.bc.om.md.al.
<i>Cecropia pelatata</i>	NC	EX	1	0	0.11%	0.00%	bc.om.al
<i>Cedrela odorata</i>	NC	EX	25	4	2.84%	1.02%	bo.om.fs
<i>Ceiba pentandra L.</i>	PM	EX	37	8	4.21%	2.04%	bo.om.al.cu
<i>Citrus sinensis</i>	NC	EX	15	9	1.71%	2.29%	al.ve.
<i>Cola acuminata</i>	NC	IN	84	12	9.56%	3.05%	ve.al.md.cu
<i>Entandrophragma angolense</i>	VU	IN	36	31	4.10%	7.89%	bo.om.md
<i>Entandrophragma utile</i>	VU	IN	5	0	0.57%	0.00%	bo.om.
<i>Gliricidia sepium</i>	NC	EX	20	35	2.28%	8.91%	fs.
<i>Heritiera densiflora</i>	PM	IN	3	5	0.34%	1.27%	bo.om.
<i>Hevea brasiliensis</i>	NC	EX	49	0	5.57%	0.00%	bc.om
<i>Mangifera indica L.</i>	NC	EX	12	21	1.37%	5.34%	al.ve.md.
<i>Mansonia altissima</i>	NC	IN	4	10	0.46%	2.54%	bo.om
<i>Milicia excelsa</i>	PM	IN	12	0	1.37%	0.00%	bo.om.md.cu
<i>Monodora myristica</i>	VU	IN	4	0	0.46%	0.00%	bc.om.al.md

Species	IUCN status	Origin	Abundance		Frequency		Uses
			Nawa	Indénié	Nawa	Indénié	
<i>Musanga cecropioides</i>	NC	IN	27	0	3.07%	0.00%	bc.om
<i>Persea americana</i>	NC	EX	7	8	0.80%	2.04%	al.ve.om
<i>Prunus domestica</i> L.	NC	EX	18	0	2.05%	0.00%	al.md
<i>Psidium guajava</i>	NC	EX	8	5	0.91%	1.27%	al.ve.
<i>Ricinodendron heudelotii</i>	NC	IN	71	13	8.08%	3.31%	ve.fs.om.md
<i>Khaya ivorensis</i>	VU	IN	26	2	2.96%	0.51%	bo.om.md
<i>Terminalia ivorensis</i>	VU	IN	120	90	13.65%	22.90%	bo.bc.om.fs.md
<i>Terminalia superba</i>	PM	IN	208	102	23.66%	25.95%	bo.bc.om.fs.md
<i>Tieghemella heckelii</i>	VU	IN	3	4	0.34%	1.02%	om.bo.bc.al
<i>Triplochiton scleroxylon</i>	PM	IN	5	6	0.57%	1.53%	om.bo.bc
<i>Xylopia aethiopica</i>	PM	IN	13	5	1.48%	1.27%	ve.md.al

Note: LC = Least Concern; VU = Vulnerable; NC = Not Classified; IN = Indigenous; EX = exotic; al = food; bo = timber; md = traditional medicine; bc = fuelwood; ve = sale/income diversification; fs = soil fertility; om = shade; cu = cultural; Indénié = Indénié-Djuablin

Table 2. Biodiversity indices by region

Region	Statistics	Shannon	Simpson	Pielou
Indénié-Djuablin	Average	1.88	0.80	0.87
	Maximum	2.37	0.86	0.91
	Minimum	1.57	0.75	0.87
	Standard Deviation	0.28	0.04	0.03
	Median	1.82	0.79	0.87
Nawa	Average	2.05	0.82	0.87
	Maximum	2.79	0.91	0.91
	Minimum	1.46	0.70	0.81
	Standard Deviation	0.39	0.06	0.03
	Median	1.92	0.82	0.87

The calculated basal area ranged from 10 m²ha⁻¹ to 61.4 m²ha⁻¹. These results are similar to those obtained in several studies conducted in Ivorian agroforests (Konan *et al.*, 2023; Cerny, 2024). Furthermore, the high basal area value could be justified by the rapid growth of the dominant species *Terminalia superba*, *Terminalia ivorensis* and *Ricinodendron heudelotii*.

3.7 Carbon Stock in Different Carbon Pools

In Nawa, the total carbon stock in AFS averaged 66.5 t C.ha⁻¹ with a standard deviation of 83.2 t C.ha⁻¹. The minimum total carbon stock was 16.6 t C.ha⁻¹ and the maximum was 190.5 t C.ha⁻¹ (Table 3). Considering the carbon stock in t CO₂.ha⁻¹ the average was 244 t CO₂.ha⁻¹ with a standard deviation of 305 t CO₂.ha⁻¹. In Indénié-Djuablin, the total carbon stock in AFS averaged 36.3 t C.ha⁻¹ with a standard deviation of 24.4 t C.ha⁻¹. The minimum total carbon stock was 9.2 t C.ha⁻¹ and the maximum was 56.7 t C.ha⁻¹. Considering the carbon stock in t CO₂.ha⁻¹ the average was 133 t CO₂.ha⁻¹ with a standard deviation of 86 t CO₂.ha⁻¹ (Table 3).

There was a significant difference between the potential carbon stocks of the two regions (Kruskal-Wallis, P=.4).

The calculated carbon stock ranged from 9.2 t C.ha⁻¹ to 190.5 t C.ha⁻¹. These results are similar to those obtained in several studies conducted in agroforests in Colombia, Cameroon, Ghana and Côte d'Ivoire (Dawoe *et al.*, 2016; Madountsap *et al.*, 2018; Ballesteros-Possú *et al.*, 2022; Kouadio *et al.*, 2025). The estimated carbon stock is average, with carbon stocks ranging from 30–70 t C/ha on average. These AFS store more than monocultures but less than old agroforests. When we consider the averages of carbon stocks and their standard deviations by region, the AFS in Nawa show the greatest heterogeneity. This heterogeneity could be explained by the age of the AFS. Indeed, Nawa is the only region where AFS over 20 years old have been recorded. Due to their age, these AFS could harbor shade trees and/or cocoa trees with large DBH. This would justify an even upper estimated carbon stock (Jagoret *et al.*, 2020).

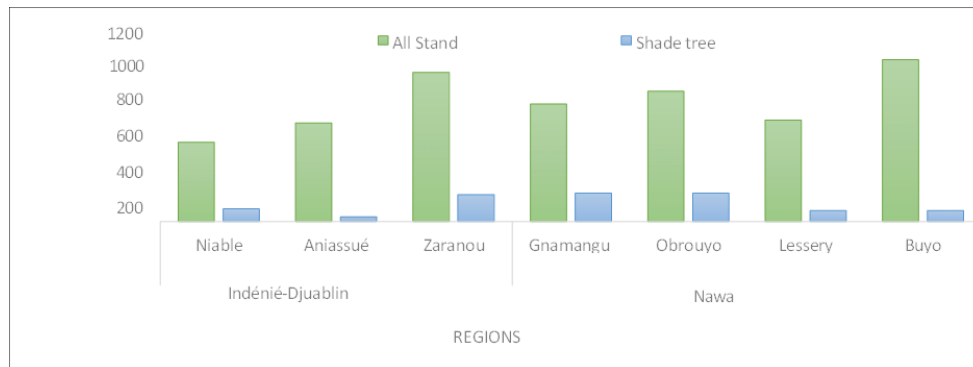


Fig. 5. All stand density and shade tree density in AFS by region

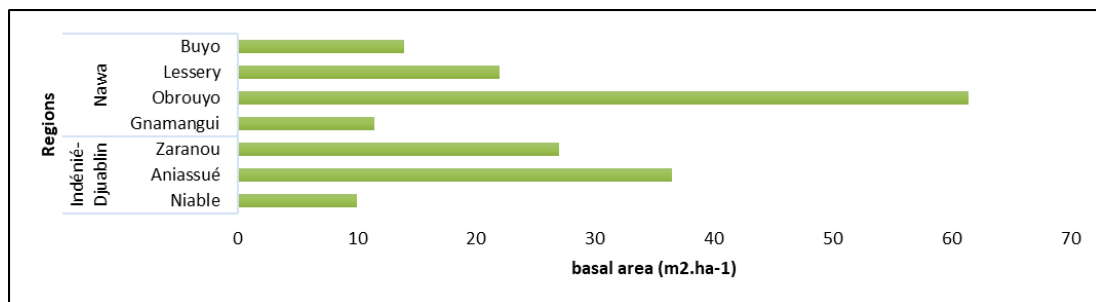


Fig. 6. Basal area of AFS by region

Table 3. Carbon stock according to carbon pools in AFS

Pools	Carbon Stock						
	Indénié-Djuablin				Nawa		
	Niablé	Aniassué	Zaranou	Gnamanguy	Obrouyo	Lessery	Buyo
Shade trees	1.3	5.4	8	2.5	10	4.4	1.9
Cocoa trees	7.8	37.5	48.4	14	180.2	15.4	36.8
Soil	0.06	0.06	0.06	0.06	0.06	0.06	0.06
Total (t C.ha ⁻¹)	9.2	43	56.7	16.6	190.5	19.9	38.8
Total (t CO ₂ .ha ⁻¹)	34	158	208	61	699.4	73	142.5

By the way, estimating carbon stocks in cocoa-based agroforestry systems is a key lever for implementing the REDD+ mechanism (Reducing Emissions from Deforestation and Forest Degradation) in Côte d'Ivoire. By combining agricultural production and tree conservation, cocoa agroforests contribute simultaneously to reducing deforestation and increasing carbon stocks in agroecosystems. These systems thus constitute a sustainable solution for restoring degraded landscapes while improving producers' livelihoods (Alemagi *et al.*, 2014; Dawoe *et al.*, 2016). Accurate assessment of the carbon stored in the biomass and soil of AFS in Côte d'Ivoire makes it possible to quantify emission reductions and feed into the national Measurement, Reporting and Verification (MRV) system required by the United Nations Framework

Convention on Climate Change or imposed by international certification standards such as the Verified Carbon Standard (VCS) or the Gold Standard (Grajales & Toukpo, 2024). These estimates, based on local data, reinforce the reliability of national greenhouse gas inventories and promote the economic valuation of sequestered carbon through payments for environmental services (PES) and carbon credits (Dugasseh & Zandersen, 2025). In addition, quantifying carbon in AFS makes it possible to better identify areas with high sequestration potential, guide national forest cover restoration policies, and continue the integration of agroforestry into territorial climate strategies. In Côte d'Ivoire, where cocoa-related deforestation remains one of the major challenges, recognizing the role of agroforests in carbon sequestration is

a concrete opportunity to achieve the objectives of the National REDD+ Strategy and the National Forest and Climate Investment Plan (PNIFC) (Acheampong et al., 2014; Grajales & Toukpo, 2024; Kouadio *et al.*, 2024). Furthermore, the scientific estimation of carbon stocks is a fundamental step in the carbon certification process, as it indicates the amount of carbon actually sequestered or avoided by a given production system. In the context of cocoa-based agroforestry systems (AFS), this estimation provides the data needed to convert ecological performance into measurable economic units, known as carbon credits. These credits correspond to one ton of carbon dioxide equivalent (t CO₂e) captured or not emitted into the atmosphere. A rigorous estimation of carbon stocks, including above-ground, below-ground biomass and soil organic carbon, thus makes it possible to demonstrate the effective contribution of AFS to climate change mitigation and to guarantee the environmental credibility of projects submitted to certification bodies (Vervuurt *et al.*, 2022). In addition, these estimates are used to establish a baseline from which net sequestration gains are measured over the duration of the project (Kumah *et al.*, 2024). Our results on stock carbon enable us to assess the effectiveness of cocoa-based agroforestry systems in reducing greenhouse gas emissions and restoring degraded landscapes. In fact, well-structured AFS can store between 100 and 200 t C ha⁻¹, or up to 700 t CO₂e ha⁻¹, depending on species diversity and shade tree density (Kouadio *et al.*, 2024). Beyond a simple ecological measure, carbon estimation plays a key role in the economic valuation and international recognition of Ivorian cocoa. It serves as the basis for environmental certification (Rainforest Alliance, Fairtrade, ISO 14064) and the country's participation in voluntary carbon markets, thereby promoting remuneration for producers engaged in sustainable practices (Maathuis & Borrell, 2022). In addition, these estimates support national sustainability policies, including the 2023–2030 National Sustainable Cocoa Strategy, which incorporates carbon monitoring as an indicator of compliance with climate commitments and the environmental traceability requirements of European markets (Vervuurt *et al.*, 2022). Thus, measuring carbon stocks is not limited to a scientific indicator : it is an environmental and economic governance tool that is essential for aligning the cocoa sector with carbon neutrality and zero deforestation production targets. By enhancing the transparency and credibility of

sustainability efforts, carbon stock estimation consolidates Côte d'Ivoire's position as a committed player in the transition to a responsible cocoa-climate economy (Kumah *et al.*, 2024).

4. CONCLUSION

The objective of this study was to determine the floristic diversity and estimate the carbon stock of living biomass (above and below ground) and soils in the AFS of Nawa and Indénié-Djuablin regions. The AFS in the two regions studied had average species richness with good species diversity and a balanced distribution of individuals between species. The species *Termiliana superba*, *Termiliana ivorensis*, *Ricnodendron heudelotii*, *Cola acuminata* and *Entandrophragma angolense* were abundant as shade trees in the study area. The survey found that trees are used for food, timber, traditional medicine, fuelwood, supplementary income, soil fertilization, shade and cultural objects. Among the shade tree species inventoried, *Entandrophragma angolense*, *Entandrophragma utile*, *Monodora myristica*, *Khaya ivorensis*, *Terminalia ivorensi*, and *Tieghemella heckelii* are on the IUCN Red List. The density of shade trees ranged from 30 to 180 shade trees per hectare, and the average diversity indices, density, basal area and carbon stock were higher in the Nawa region. The average potential carbon stock was 66 t C.ha⁻¹ with a standard deviation of 83 t C.ha⁻¹ in Nawa and 36 t C.ha⁻¹ with a standard deviation of 24 t C.ha⁻¹ in Indénié-Djuablin. The results of this work have revealed strategies for optimising cocoa-based agroforestry systems in production areas. They also provide a basis for the development of sustainable development mechanisms and support for the implementation of greenhouse gas emission reduction processes in developing countries. The carbon storage data from this study could be used as a baseline for supporting REDD+ strategies.

DISCLAIMER (ARTIFICIAL INTELLIGENCE)

Authors hereby declare that NO generative AI technologies such as Large Language Models (ChatGPT, COPILOT, etc.) and text-to-image generators have been used during the writing or editing of this manuscript.

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COMPETING INTERESTS

Authors have declared that they have no known competing financial interests or non-financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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